

Docket No.: 03109/100G960-US1  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Roger Alcaly

Application No.: 09/852,222

Confirmation No.: 4229

Filed: May 9, 2001

Art Unit: 3693

For: A METHOD AND SYSTEM FOR  
GENERATING AN INDEX FOR  
INVESTMENT RETURNS

Examiner: Daniel S. Felten

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

In response to the Non-Final Office Action dated April 5, 2007, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 13 of this paper.